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8 UNITED STATES DISTRICT COURT  
9 CENTRAL DISTRICT OF CALIFORNIA  
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11 CANDY TREJO, ) Case No. EDCV 17-0879-JPR  
12 )  
13 Plaintiff, )  
14 ) MEMORANDUM DECISION AND ORDER  
15 v. ) REVERSING COMMISSIONER  
16 )  
17 NANCY A. BERRYHILL, Acting )  
18 Commissioner of Social )  
19 Security, )  
20 )  
21 Defendant. )  
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1 **II. BACKGROUND**

2 Plaintiff was born in 1965. (Administrative Record ("AR")  
3 67, 224.) She received a high school diploma (AR 38, 252) and  
4 worked as a portrait finisher (AR 59, 252).

5 On December 7, 2012, and February 19, 2013, Plaintiff  
6 applied for SSI and DIB, respectively, alleging that she had been  
7 unable to work since September 1, 2008,<sup>1</sup> because of attention  
8 deficit disorder, major depressive disorder, fibromyalgia, sleep  
9 apnea, and osteoarthritis. (AR 67-68, 80-81, 224-30, 251.)  
10 After her applications were denied initially and on  
11 reconsideration (see AR 93-94, 125-26, 129, 136), she requested a  
12 hearing before an Administrative Law Judge (AR 142). A hearing  
13 was held on August 7, 2015, at which Plaintiff, who was  
14 represented by counsel, testified, as did a vocational expert.  
15 (AR 33-66, 223.) In a written decision issued September 22,  
16 2015, the ALJ found Plaintiff not disabled. (AR 14-32.)  
17 Plaintiff sought Appeals Council review (AR 8-9), which was  
18 denied on March 7, 2017 (AR 1-6). This action followed.

19 **III. STANDARD OF REVIEW**

20 Under 42 U.S.C. § 405(g), a district court may review the  
21 Commissioner's decision to deny benefits. The ALJ's findings and  
22 decision should be upheld if they are free of legal error and  
23 supported by substantial evidence based on the record as a whole.  
24 See id.; Richardson v. Perales, 402 U.S. 389, 401 (1971); Parra  
25 v. Astrue, 481 F.3d 742, 746 (9th Cir. 2007). Substantial

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27 <sup>1</sup> Plaintiff listed September 1, 2008, as her disability-  
28 onset date. (AR 224, 226.) In all other paperwork, however,  
including the ALJ's decision, June 15, 2006, is listed as her  
onset date. (AR 14, 67-68, 80-81, 251.)

evidence means such evidence as a reasonable person might accept as adequate to support a conclusion. Richardson, 402 U.S. at 401; Lingenfelter v. Astrue, 504 F.3d 1028, 1035 (9th Cir. 2007). It is more than a scintilla but less than a preponderance. Lingenfelter, 504 F.3d at 1035 (citing Robbins v. Soc. Sec. Admin., 466 F.3d 880, 882 (9th Cir. 2006)). To determine whether substantial evidence supports a finding, the reviewing court "must review the administrative record as a whole, weighing both the evidence that supports and the evidence that detracts from the Commissioner's conclusion." Reddick v. Chater, 157 F.3d 715, 720 (9th Cir. 1998). "If the evidence can reasonably support either affirming or reversing," the reviewing court "may not substitute its judgment" for the Commissioner's. Id. at 720-21.

#### IV. THE EVALUATION OF DISABILITY

People are "disabled" for purposes of receiving Social Security benefits if they are unable to engage in any substantial gainful activity owing to a physical or mental impairment that is expected to result in death or has lasted, or is expected to last, for a continuous period of at least 12 months. 42 U.S.C. § 423(d)(1)(A); Drouin v. Sullivan, 966 F.2d 1255, 1257 (9th Cir. 1992).

##### A. The Five-Step Evaluation Process

The ALJ follows a five-step evaluation process to assess whether a claimant is disabled. 20 C.F.R. §§ 404.1520(a)(4), 416.920(a)(4); Lester v. Chater, 81 F.3d 821, 828 n.5 (9th Cir. 1995) (as amended Apr. 9, 1996). In the first step, the Commissioner must determine whether the claimant is currently engaged in substantial gainful activity; if so, the claimant is

1 not disabled and the claim must be denied. §§ 404.1520(a)(4)(i),  
2 416.920(a)(4)(i).

3 If the claimant is not engaged in substantial gainful  
4 activity, the second step requires the Commissioner to determine  
5 whether the claimant has a "severe" impairment or combination of  
6 impairments significantly limiting her ability to do basic work  
7 activities; if not, the claimant is not disabled and her claim  
8 must be denied. §§ 404.1520(a)(4)(ii), 416.920(a)(4)(ii).

9 If the claimant has a "severe" impairment or combination of  
10 impairments, the third step requires the Commissioner to  
11 determine whether the impairment or combination of impairments  
12 meets or equals an impairment in the Listing of Impairments set  
13 forth at 20 C.F.R. part 404, subpart P, appendix 1; if so,  
14 disability is conclusively presumed. §§ 404.1520(a)(4)(iii),  
15 416.920(a)(4)(iii).

16 If the claimant's impairment or combination of impairments  
17 does not meet or equal an impairment in the Listing, the fourth  
18 step requires the Commissioner to determine whether the claimant  
19 has sufficient residual functional capacity ("RFC")<sup>2</sup> to perform  
20 her past work; if so, she is not disabled and the claim must be  
21 denied. §§ 404.1520(a)(4)(iv), 416.920(a)(4)(iv). The claimant  
22 has the burden of proving she is unable to perform past relevant  
23 work. Drouin, 966 F.2d at 1257. If the claimant meets that  
24 burden, a prima facie case of disability is established. Id. If

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26 <sup>2</sup> RFC is what a claimant can do despite existing exertional  
27 and nonexertional limitations. §§ 404.1545, 416.945; see Cooper  
28 v. Sullivan, 880 F.2d 1152, 1155 n.5 (9th Cir. 1989). The  
Commissioner assesses the claimant's RFC between steps three and  
four. Laborin v. Berryhill, 867 F.3d 1151, 1153 (9th Cir. 2017)  
(citing § 416.920(a)(4)).

1 that happens or if the claimant has no past relevant work, the  
2 Commissioner then bears the burden of establishing that the  
3 claimant is not disabled because she can perform other  
4 substantial gainful work available in the national economy.  
5 §§ 404.1520(a)(4)(v), 416.920(a)(4)(v); Drouin, 966 F.2d at 1257.  
6 That determination comprises the fifth and final step in the  
7 sequential analysis. §§ 404.1520(a)(4)(v), 416.920(a)(4)(v);  
8 Lester, 81 F.3d at 828 n.5; Drouin, 966 F.2d at 1257.

9 B. The ALJ's Application of the Five-Step Process

10 At step one, the ALJ found that Plaintiff had not engaged in  
11 substantial gainful activity since June 15, 2006. (AR 16.) At  
12 step two, she concluded that Plaintiff had severe impairments of  
13 "history of fibromyalgia; obstructive sleep apnea;  
14 osteoarthritis; degenerative disc disease of the cervical spine;  
15 obesity; chronic pain syndrome; mild to moderate degenerative  
16 joint disease of the right shoulder, status-post surgery; major  
17 depressive disorder; attention deficit disorder/attention deficit  
18 hyperactivity disorder; and anxiety." (AR 16-17.) At step  
19 three, she determined that Plaintiff's impairments did not meet  
20 or equal a listing. (AR 17.) At step four, the ALJ found that  
21 Plaintiff had the RFC to perform a limited range of light work:

22 Standing, walking, and sitting would all be consistent  
23 with light work but [she] would need to alternate  
24 position approximately every 30-45 minutes, the change in  
25 position would be about 1-5 minutes, and she would be  
26 able to remain on task during that time. [She] is  
27 limited to occasional postural activities but no climbing  
28 of ladders, ropes, or scaffolds and no work at

1 unprotected heights, around moving machinery, or other  
2 hazards. She can occasionally reach overhead with the  
3 dominant right upper extremity but no lifting overhead  
4 with the right dominant upper extremity. The non-  
5 dominant left hand should be limited to frequent fine  
6 manipulation and there should be no repetitive push or  
7 pull with the right lower extremity such as operating  
8 foot pedals [sic]. She must avoid concentrated exposure  
9 to fumes, odors, gases, or other pulmonary irritants as  
10 well as extreme temperatures and avoid frequently walking  
11 on uneven terrain. [She] is limited to no fast paced  
12 production or assembly line type work. She can  
13 concentrate for up to 2 hours at a time but is limited to  
14 unskilled simple tasks with occasional non-intense  
15 interaction with the general public.

16 (AR 19-20.) Based on the VE's testimony, the ALJ concluded that  
17 Plaintiff was unable to perform her past relevant work. (AR 26-  
18 27.) At step five, the ALJ found that given Plaintiff's age,  
19 education, work experience, and RFC, she could perform three  
20 "representative" jobs in the national economy. (AR 27-28.)  
21 Thus, the ALJ found Plaintiff not disabled. (AR 28.)  
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1 **V. DISCUSSION<sup>3</sup>**

2 A. The ALJ Erred in Discounting Plaintiff's Subjective  
3 Symptoms

4 Plaintiff argues that the ALJ improperly rejected her  
5 subjective symptom statements. (J. Stip. at 5-12, 20-21.) As  
6 discussed below, the ALJ materially erred in discounting her  
7 statements' credibility. Accordingly, remand is warranted.

8 1. Applicable law

9 An ALJ's assessment of the credibility of a claimant's  
10 allegations concerning the severity of his symptoms is entitled  
11 to "great weight." See Weetman v. Sullivan, 877 F.2d 20, 22 (9th  
12 Cir. 1989) (as amended); Nyman v. Heckler, 779 F.2d 528, 531 (9th  
13 Cir. 1985) (as amended Feb. 24, 1986). "[T]he ALJ is not  
14 'required to believe every allegation of disabling pain, or else  
15 disability benefits would be available for the asking, a result  
16 plainly contrary to 42 U.S.C. § 423(d)(5)(A).'" Molina v.  
17 Astrue, 674 F.3d 1104, 1112 (9th Cir. 2012) (quoting Fair v.  
18 Bowen, 885 F.2d 597, 603 (9th Cir. 1989)).

19 In evaluating a claimant's subjective symptom testimony, the  
20 ALJ engages in a two-step analysis. See Lingenfelter, 504 F.3d  
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24 <sup>3</sup> In Lucia v. SEC, 138 S. Ct. 2044, 2055 (2018), the Supreme  
25 Court recently held that ALJs of the Securities and Exchange  
26 Commission are "Officers of the United States" and thus subject  
27 to the Appointments Clause. To the extent Lucia applies to  
28 Social Security ALJs, Plaintiff has forfeited the issue by  
failing to raise it during her administrative proceedings. (See  
AR 8-9, 33-66, 335-37; J. Stip. at 5-12, 20-21); Meanel v. Apfel,  
172 F.3d 1111, 1115 (9th Cir. 1999) (as amended) (plaintiff  
forfeits issues not raised before ALJ or Appeals Council).

1 at 1035-36; see also SSR 96-7p, 1996 WL 374186 (July 2, 1996).<sup>4</sup>  
2 "First, the ALJ must determine whether the claimant has presented  
3 objective medical evidence of an underlying impairment [that]  
4 could reasonably be expected to produce the pain or other  
5 symptoms alleged." Lingenfelter, 504 F.3d at 1036. If such  
6 objective medical evidence exists, the ALJ may not reject a  
7 claimant's testimony "simply because there is no showing that the  
8 impairment can reasonably produce the degree of symptom alleged."  
9 Smolen v. Chater, 80 F.3d 1273, 1282 (9th Cir. 1996) (emphasis in  
10 original).

11 If the claimant meets the first test, the ALJ may discredit  
12 the claimant's subjective symptom testimony only if he makes  
13 specific findings that support the conclusion. See Berry v.  
14 Astrue, 622 F.3d 1228, 1234 (9th Cir. 2010). Absent a finding or  
15 affirmative evidence of malingering, the ALJ must provide a  
16 "clear and convincing" reason for rejecting the claimant's  
17 testimony. Brown-Hunter v. Colvin, 806 F.3d 487, 493 (9th Cir.

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19 <sup>4</sup> Social Security Ruling 16-3p, 2016 WL 1119029, effective  
20 March 16, 2016, rescinded SSR 96-7p, which provided the framework  
21 for assessing the credibility of a claimant's statements. SSR  
22 16-3p was not in effect at the time of the ALJ's decision in this  
case, however, and therefore does not apply. Still, the Ninth  
Circuit has clarified:

23 [SSR 16-3p] makes clear what our precedent already  
24 required: that assessments of an individual's testimony  
25 by an ALJ are designed to "evaluate the intensity and  
26 persistence of symptoms after [the ALJ] find[s] that the  
27 individual has a medically determinable impairment(s)  
that could reasonably be expected to produce those  
symptoms," and not to delve into wide-ranging scrutiny of  
the claimant's character and apparent truthfulness.

28 Trevizo v. Berryhill, 871 F.3d 664, 678 n.5 (9th Cir. 2017) (as  
amended) (alterations in original) (quoting SSR 16-3p).



2015) (as amended); Treichler v. Comm’r of Soc. Sec. Admin., 775 F.3d 1090, 1102 (9th Cir. 2014). In assessing credibility, the ALJ may consider, among other factors, (1) ordinary techniques of credibility evaluation, such as the claimant’s reputation for lying, prior inconsistent statements, and other testimony by the claimant that appears less than candid; (2) unexplained or inadequately explained failure to seek treatment or to follow a prescribed course of treatment; (3) the claimant’s daily activities; (4) the claimant’s work record; and (5) testimony from physicians and third parties. Rounds v. Comm’r Soc. Sec. Admin., 807 F.3d 996, 1006 (9th Cir. 2015) (as amended); Thomas v. Barnhart, 278 F.3d 947, 958-59 (9th Cir. 2002). If the ALJ’s credibility finding is supported by substantial evidence in the record, the reviewing court “may not engage in second-guessing.” Thomas, 278 F.3d at 959.

## 2. Relevant background

### i. *Treatment Records*<sup>5</sup>

Plaintiff began seeing internist Rick Tang in November 2006.<sup>6</sup> (AR 530.) Dr. Tang observed that she “had multiple

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<sup>5</sup> Plaintiff consistently received primary-care treatment at Riverside Medical Clinic, but she saw several different doctors there. (See, e.g., AR 512-15 (family physician Steven A. Salzman), 517-18 (internist Rick Tang), 533-34 (gastroenterologist Philip T. Chen), 557-58 (pulmonologist Andrew T. Duke).)

<sup>6</sup> At Plaintiff’s first appointment with Dr. Tang, she reported that she “ha[d] been under the care of Dr. Steven Myering,” who had “done [an] EMG which . . . show[ed] neuropathy.” (AR 530.) She also claimed to have been given a “course of injections” for her pain. (Id.) No such treatment notes, imaging, or injections from before November 2006 appear in the record, however.

1 trigger points on [her] neck, shoulders, hips, and elbows."  
2 (Id.) He assessed her with "[c]hronic pain syndrome,"  
3 "[f]ibromyalgia with multiple trigger points," "[a]nxiety/  
4 depression," and "[q]uestionable neuropathy with pain in both  
5 arms"; he prescribed amitriptyline<sup>7</sup> and Prozac.<sup>8</sup> (AR 532.) In  
6 February 2007, Plaintiff "complain[ed] of increasing [and] achy  
7 body pain everywhere," and Dr. Tang wrote that it was "unclear"  
8 whether Plaintiff's "[d]iffuse body ache[s]" were "fibromyalgia  
9 versus undiagnosed inflamma[to]ry arthritis." (AR 525.) He  
10 advised taking ibuprofen, prescribed Zantac<sup>9</sup> and temazepam,<sup>10</sup> and  
11 referred her to rheumatologist Andre Babajani to obtain  
12 further information on her chronic pain. (Id.) Dr. Babajani  
13 found that Plaintiff had "[m]ultiple symmetric tender points" in  
14 her musculoskeletal soft tissue at "16 out of 18 defined areas."  
15 (AR 524.) He requested an x-ray of her cervical spine (id.),  
16 which showed "[m]inimal degenerative disk disease at C5-6," with

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18 <sup>7</sup> Amitriptyline treats depression by improving mood,  
19 relieving anxiety, helping patients sleep better, and increasing  
20 energy levels. See Amitriptyline HCL, WebMD, <https://www.webmd.com/drugs/2/drug-8611/amitriptyline-oral/details> (last visited July 23, 2018).

21 <sup>8</sup> Prozac treats depression by improving mood, sleep,  
22 appetite, and energy level. See Prozac, WebMD, <https://www.webmd.com/drugs/2/drug-6997/prozac-oral/details> (last visited July 23, 2018).

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24 <sup>9</sup> Zantac treats stomach and intestine ulcers. See Zantac  
25 Tablet, WebMD, <https://www.webmd.com/drugs/2/drug-4090-7033/zantac-oral/ranitidine-tablet-oral/details> (last visited July 23, 2018).

26 <sup>10</sup> Temazepam treats insomnia by helping patients fall asleep  
27 faster, stay asleep longer, and decrease how often they wake up  
28 during the night. See Temazepam, WebMD, <https://www.webmd.com/drugs/2/drug-8715/temazepam-oral/details> (last visited July 23, 2018).

1 "very minimal anterior osteophytes," and was otherwise "normal"  
2 (AR 493). He diagnosed "[c]hronic generalized fatigue, myalgia,  
3 [and] lack of evidence for inflammatory process, consistent with  
4 fibromyalgia"; "[c]ervical spondylosis"; and "early  
5 osteoarthritis." (AR 524.) He advised her to continue Motrin  
6 and temazepam and to try "50 mg" of Lyrica<sup>11</sup> "for further pain  
7 control." (Id.)

8 In April 2007, Plaintiff reported no "overall improvement"  
9 in her "generalized aches and pains [and] stiffness," and Dr.  
10 Babajanians diagnosed "[f]ibromyalgia syndrome." (AR 522.) She  
11 was taking Prozac and amitriptyline, and he also prescribed  
12 Neurontin.<sup>12</sup> (Id.) In May 2007, Plaintiff reported "increased  
13 anxiety and depression" and complained of "fatigue and daytime  
14 somnolence." (AR 521.) She exhibited "[m]ultiple aches and  
15 pain[s]" upon palpation of her "neck, shoulder, elbows[,] and  
16 hip." (Id.) Dr. Tang noted that her chronic fatigue "may be  
17 . . . related to sleep apnea" and ordered a sleep study. (Id.;  
18 see also AR 474.) He wrote that her "[d]iffuse[]" muscle aches  
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25 <sup>11</sup> Lyrica treats fibromyalgia pain. See Lyrica, WebMD,  
26 <https://www.webmd.com/drugs/2/drug-93965/lyrica-oral/details>  
(last visited July 23, 2018).

27 <sup>12</sup> Neurontin relieves nerve pain and prevents and controls  
28 seizures. See Neurontin Capsule, WebMD, <https://www.webmd.com/drugs/2/drug-9845-8217/neurontin-oral/gabapentin-oral/details>  
(last visited July 23, 2018).

1 may be fibromyalgia" and prescribed Cymbalta<sup>13</sup> and Tagamet<sup>14</sup> on  
2 top of her other prescriptions. (AR 521.) The sleep study was  
3 performed in June 2007 and revealed that Plaintiff had  
4 "[m]oderate obstructive sleep apnea/hypopnea" and was "a good  
5 candidate for ongoing treatment with CPAP." (AR 457-59.) In  
6 August 2007, it was noted that she "could not tolerate [the]  
7 standard CPAP mask" (AR 471); Dr. Tang adjusted her prescription  
8 to a "nasal pillow[] mirage swift" CPAP mask (AR 472-73).

9 In October 2007, Plaintiff complained to Dr. Babajanians of  
10 "severe generalized pain" and "difficulty moving." (AR 519.) In  
11 November 2007, she reported to Dr. Tang that she had "diffuse  
12 muscle spasm[s] of both legs to the point that she could not  
13 walk," and he found "diffuse pain on palpating [her] neck, upper  
14 trapezius, elbows, hips, back, and legs." (AR 518.) He  
15 prescribed Vicodin<sup>15</sup> "three times a day [on an] as needed basis  
16 for severe pain." (Id.) He also increased her Neurontin, added  
17 Robaxin<sup>16</sup> "as needed for muscle spasm," and "change[d] her over"

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19 <sup>13</sup> Cymbalta helps relieve ongoing pain from fibromyalgia.  
20 See Cymbalta, WebMD, [https://www.webmd.com/drugs/2/drug-91491/](https://www.webmd.com/drugs/2/drug-91491/cymbalta-oral/details)  
21 [cymbalta-oral/details](https://www.webmd.com/drugs/2/drug-91491/cymbalta-oral/details) (last visited July 23, 2018). It also  
treats depression and anxiety. See id.

22 <sup>14</sup> Tagamet treats stomach and intestine ulcers and prevents  
23 them from returning once they have healed. See Tagamet Tablet,  
24 WebMD, [https://www.webmd.com/drugs/2/drug-7035/tagamet-oral/](https://www.webmd.com/drugs/2/drug-7035/tagamet-oral/details)  
[details](https://www.webmd.com/drugs/2/drug-7035/tagamet-oral/details) (last visited July 23, 2018).

25 <sup>15</sup> Vicodin is a narcotic pain reliever used to relieve  
26 moderate to severe pain. See Vicodin, WebMD, [https://](https://www.webmd.com/drugs/2/drug-3459/vicodin-oral/details)  
[www.webmd.com/drugs/2/drug-3459/vicodin-oral/details](https://www.webmd.com/drugs/2/drug-3459/vicodin-oral/details) (last  
27 visited July 23, 2018).

28 <sup>16</sup> Robaxin treats muscle spasms and pain. See Robaxin,  
WebMD, [https://www.webmd.com/drugs/2/drug-11197/robaxin-oral/](https://www.webmd.com/drugs/2/drug-11197/robaxin-oral/details)  
[details](https://www.webmd.com/drugs/2/drug-11197/robaxin-oral/details) (last visited July 23, 2018).

1 from Prozac to Celexa.<sup>17</sup> (Id.) In December 2007, she "ha[d]  
2 slight improve[ment] but continue[d] to have lots of aches and  
3 pains." (AR 517.) She was "wobbly," had a "lot of difficulty  
4 with balance issues," and "walk[ed] with a cane." (Id.) She had  
5 "diffuse pain everywhere" upon palpation, but Dr. Tang did not  
6 see any "peripheral shaking or tremor." (Id.) He increased her  
7 Neurontin, continued Vicodin, Celexa, and Robaxin, and referred  
8 her to neurologist Ronald Bailey to address her "ambulatory  
9 dysfunctions and loss of balance and shaking on the left side."<sup>18</sup>  
10 (Id.)

11 In May 2008, Dr. Tang wrote that "[i]nitially Lyrica [had]  
12 helped [her] pain but [they] need[ed] to keep upping her [dosage]  
13 as her pain ke[pt] on worsening." (AR 516.) He assessed her  
14 with "[i]ncreasing" depression, anxiety, and diffuse pain; he  
15 also noted that her foot pain affected her ambulation. (Id.)  
16 Her Lyrica prescription was increased from "150 mg" to "300 mg"

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18 <sup>17</sup> Celexa treats depression. See Celexa, WebMD, [https://](https://www.webmd.com/drugs/2/drug-8603/celexa-oral/details)  
19 [www.webmd.com/drugs/2/drug-8603/celexa-oral/details](https://www.webmd.com/drugs/2/drug-8603/celexa-oral/details) (last visited  
20 July 23, 2018).

21 <sup>18</sup> Dr. Bailey saw Plaintiff for an initial neurologic  
22 consultation in January 2008. (See AR 541-43.) "Coordination  
23 testing reveal[ed] normal finger-to-nose-to-finger testing";  
24 motor examination "demonstrate[d] normal bulk, tone, and strength  
25 throughout"; reflex testing "reveal[ed] flexor plantar responses  
26 bilaterally, 1-2+ and symmetric throughout"; and sensory  
27 examination was "normal." (AR 542.) Dr. Bailey's "impression"  
28 was "[a]ches, pains, and cramps syndrome." (Id.) At a follow-up  
appointment in March 2008, Plaintiff demonstrated "completely  
normal bulk, tone, and strength in all muscle groups" and had "1-  
2+ and symmetric reflexes throughout"; "[s]ensory examination  
[was] normal." (AR 535.) Dr. Bailey performed a nerve-  
conduction study that same day, with "[n]ormal" results; there  
was "no electrophysiologic evidence to support a primary disorder  
of nerve or muscle." (AR 536.) He prescribed "75 mg" of Lyrica  
twice a day. (AR 535.)

1 twice a day "for better pain control." (Id.)

2 In October and November 2009, she had "pain in both her  
3 upper and lower body," "multiple trigger points," "abdominal  
4 pain," and depression.<sup>19</sup> (See AR 510, 512, 514.) She had sleep  
5 apnea but hadn't used her CPAP machine in two years. (AR 512,  
6 514, 557.) Her "[s]ensory and motor [nerves were] grossly  
7 intact," and her deep tendon reflexes were "within normal  
8 limits." (AR 513-14.) She also had "epigastric pain." (AR 510,  
9 512.) Lyrica was increased to "350 mg" twice a day and Vicodin  
10 was continued. (AR 510, 512, 514.)

11 Dr. Babajanians saw her for a rheumatology consult in  
12 November 2009. (See AR 508-09.) He observed "[m]ultiple tender  
13 points" in her upper and lower back, chest wall, neck, and knees,  
14 totaling "12/18 defined points." (AR 508.) She had "[n]o  
15 synovial swelling" in her peripheral joints but had "[s]light  
16 discomfort with full abduction of [her] arms and shoulders [and]  
17 limitation in [her] lumbar flexion." (Id.) He noted that her  
18 Lyrica had been increased to "700 mg per day" and "hepatic  
19 enzymes [were] mildly elevated"; though her fibromyalgia showed  
20 "symptomatic improvement," she had "[m]ild hepatitis, likely  
21 associated with [her] medications." (AR 508-09.) He advised  
22 "[d]ecreas[ing] [her] dose of Lyrica gradually" to a "maximum  
23 dose [of] 450 mg per day" and "[m]onitor[ing] [her] liver  
24 function tests." (AR 509.)

25 In December 2009, she had a cardiovascular consult with  
26 cardiologist Houshang Karimi to address "atypical chest pain."

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27  
28 <sup>19</sup> No treatment notes appear in the record from between May  
2008 and October 2009.

1 (See AR 560-62.) Dr. Karimi wrote that Plaintiff had taken a  
2 treadmill stress test in November, which was "nondiagnostic"  
3 because she did not reach target heart rate. (AR 560-61; see  
4 also AR 467-69.) He observed that she was "in no apparent  
5 distress," and her sensation and muscle strength were "intact."  
6 (AR 560.) He recommended an "echo to evaluate the overall [left  
7 ventricle] function and right heart pressures given her [history]  
8 of [obstructive sleep apnea] and being short of breath  
9 chronically." (AR 561.)

10 In July 2010, Plaintiff's depression was "doing relatively  
11 well." (AR 506.) Lyrica had been "helpful" for her  
12 fibromyalgia, though she complained of "aching pain" in her lower  
13 back. (Id.) The pain "d[id] not radiate through the buttocks or  
14 down the legs," but it got worse "with prolonged walking" and  
15 "when going from . . . sitting or lying to a standing position."  
16 (Id.) Family physician Steven A. Salzman observed that she had  
17 "good range of motion in [her] back," with "no paraspinous  
18 spasm." (AR 507.) She had "no tenderness on palpation of the  
19 lumbar sacral spine" or "over the sciatic notch." (Id.) Her  
20 deep tendon reflexes were "within normal limits," and her  
21 straight-leg raise was "negative." (Id.) He "[r]enew[ed]" her  
22 Lyrica at "150" mg twice a day and also prescribed Naprosyn.<sup>20</sup>  
23 (AR 506-07.)

24 In October 2010, she had a rheumatology follow-up with Dr.  
25

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26 <sup>20</sup> Naprosyn is a nonsteroidal antiinflammatory that relieves  
27 pain from muscle aches and reduces pain, swelling, and joint  
28 stiffness caused by arthritis. See Naprosyn Tablet, WebMD,  
[https://www.webmd.com/drugs/2/drug-1705-1289/naprosyn-oral/  
naproxen-oral/details](https://www.webmd.com/drugs/2/drug-1705-1289/naprosyn-oral/naproxen-oral/details) (last visited July 23, 2018).

1 Babajanians. (AR 505.) She reported that she "continue[d] to  
2 feel relatively well, more lucid, [and] able to concentrate on  
3 tasks better" on Lyrica. (Id.) Her "main problem" was "mid  
4 abdominal discomfort" that "extend[ed] to the mid back region"  
5 and "increas[ed] in intensity after eating." (Id.) Dr.  
6 Babajanians observed "tender points in [her] upper and lower back  
7 and chest" at "6/18 defined areas" and "normal and symmetric"  
8 muscle strength. (Id.) He noted that her fibromyalgia was  
9 "symptomatically stable" and "[c]ontinue[d] Lyrica." (Id.)

10 In February 2011, Plaintiff went to urgent care complaining  
11 of mid- and low-back pain. (AR 476.) In April 2011, she had a  
12 "sore throat" and other related symptoms, but her "[o]ther pains  
13 [were] relatively controlled on [L]yrica and naproxen."<sup>21</sup> (AR  
14 497.) In May 2011, she felt an "achy sensation all over" but was  
15 "slightly better since [being] on Lyrica." (AR 496.) Dr.  
16 Babajanians observed that she had "persistent soft tissue tender  
17 points" on her back and chest wall and "normal and symmetric"  
18 muscle strength. (Id.) In July 2011, she reported that her back  
19 pain "flare[d] up with walking." (AR 494.) In August 2011, she  
20 went to urgent care, reporting "moderate," "intermittent[]" chest  
21 pain in the "substernal region" "at a severity of 7/10." (AR  
22 429.) The "sharp" pain "radiate[d] to [her] mid back," causing  
23 abdominal pain, back pain, and nausea. (Id.) She exhibited  
24 "tenderness" in her abdomen and on her "anterior left chest  
25 wall." (AR 430.) Her physical exam was "[n]egative for  
26

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27 <sup>21</sup> Naproxen is a generic version of Naprosyn. See Naproxen  
28 Tablet, WebMD, [https://www.webmd.com/drugs/2/drug-5173-1289/](https://www.webmd.com/drugs/2/drug-5173-1289/naproxen-oral/naproxen-oral/details)  
naproxen-oral/naproxen-oral/details (last visited July 23, 2018).



1 myalgias," "dizziness, tingling, tremors and headaches" (id.),  
2 and an "unremarkable" chest x-ray showed "[n]o definite acute  
3 abnormality" (AR 449-50). She was advised to take ibuprofen for  
4 her pain and received one ketorolac injection.<sup>22</sup> (AR 431.) In  
5 October 2011, she had no abdominal tenderness or chest pain. (AR  
6 421.) Plaintiff reported that though she "ha[d] some baseline  
7 levels of pain," she was "[f]eeling well" and "fe[lt] able to do  
8 most of her desired activity." (Id.) She stated that  
9 "motivation or laziness ha[d] made it tough to continue  
10 exercising as much as she'd like." (Id.)

11 In November 2011, Plaintiff complained of a "slight increase  
12 in intensity of generalized fatigue and myalgia" because of her  
13 sleep apnea. (AR 414.) She was "involved in exercises" and  
14 stated that "Lyrica remain[ed] effective." (Id.) Dr.  
15 Babajanians wrote that she was "[p]ositive for myalgias and joint  
16 pain," exhibited musculoskeletal "tenderness," and had "[m]ild  
17 diffuse soft tissue tenderness including 12/18 defined tender  
18 points." (AR 415.) He prescribed a trial of nortriptyline.<sup>23</sup>  
19 (Id.)

20 In March 2012, she stated that she had a headache, though  
21 she did not get them "routinely." (AR 405.) She had right-knee  
22 tenderness, with a "[n]ormal" musculoskeletal range of motion (AR  
23 406), and was positive for "malaise/fatigue" and myalgias (AR

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24  
25 <sup>22</sup> Ketorolac is a nonsteroidal antiinflammatory used to  
26 relieve moderate to severe pain. See Ketorolac Tromethamine  
27 Syringe, WebMD, [https://www.webmd.com/drugs/2/drug-6419/](https://www.webmd.com/drugs/2/drug-6419/ketorolac-injection/details)  
28 [ketorolac-injection/details](https://www.webmd.com/drugs/2/drug-6419/ketorolac-injection/details) (last visited July 23, 2018).

<sup>23</sup> Nortriptyline treats depression. See Nortriptyline HCL,  
WebMD, [https://www.webmd.com/drugs/2/drug-10710/](https://www.webmd.com/drugs/2/drug-10710/nortriptyline-oral/details)  
[nortriptyline-oral/details](https://www.webmd.com/drugs/2/drug-10710/nortriptyline-oral/details) (last visited July 23, 2018).

1 398). That same month, she began receiving mental-health  
2 treatment from psychologist Ronald Offenstein to address her  
3 grief after the passing of her father-in-law. (AR 361, 405; see  
4 also AR 352-54 (initial clinical assessment completed by nurse  
5 practitioner).) She did not have orientation, cognitive, or  
6 memory impairment but had "[m]oderate[ly]" poor concentration and  
7 "[s]evere[ly]" decreased energy. (AR 361.) Dr. Offenstein wrote  
8 that she was "motivated" but had "poor insight" (AR 362); she had  
9 "average" intelligence, was "distractible," and had "intact"  
10 judgment and memory (AR 363). She had "[s]evere" impairments in  
11 holding an occupation and accomplishing personal-care and daily-  
12 living activities. (Id.)

13 In April 2012, she told Dr. Offenstein that she "believe[d]  
14 she need[ed] to get a job" but that "nobody would hire her"  
15 because she couldn't "read, write, [or] spell." (AR 358.) She  
16 stated that she "didn't finish school" but "went to adult  
17 school." (Id.) She did not have any orientation, cognitive, or  
18 memory impairment but had "[s]evere[ly]" poor concentration.  
19 (Id.) She was prescribed "25 mg" of Topamax<sup>24</sup> twice a day. (AR  
20 351.) In May 2012, he did not indicate that she had any mental-  
21 impairment symptoms (AR 357), but that same month, Kathleen  
22 Kelly, a licensed clinical social worker, wrote that Plaintiff  
23 had "cognitive impairment" and "[m]oderate[ly]" poor  
24 concentration (AR 356). She had "[m]oderate" problems with her  
25

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26  
27 <sup>24</sup> Topamax prevents migraine headaches and seizures. See  
28 Topamax, WebMD, <https://www.webmd.com/drugs/2/drug-14494-6019/topamax-oral/topiramate-oral/details> (last visited July 23, 2018).

1 personal care. (Id.) She was prescribed "50 mg" of Zoloft,<sup>25</sup> to  
2 be increased to "100 mg" after a week. (AR 349.) That  
3 prescription was increased to "150 mg" in June 2012. (AR 348.)

4 The same month, Plaintiff complained of "pain all over,"  
5 specifically describing "knee pain." (AR 390-91.) She mentioned  
6 completing "extensive workouts" to lose weight, though they  
7 caused "some pain." (AR 390.) Gastroenterologist Philip T. Chen  
8 prescribed a trial of tramadol<sup>26</sup> "for pain" and to address  
9 Plaintiff's complaints that "[V]icodin on rare occasion [was] too  
10 strong." (AR 390-91.) In August 2012, she was reevaluated for  
11 sleep apnea. (AR 382.) She had been "unable to tolerate" the  
12 CPAP mask (AR 382-33), so another was ordered for her (AR 461-  
13 62). In October 2012, however, she still "struggle[d] with each  
14 mask" (AR 376); another was ordered (AR 463-65). That same  
15 month, she attended a follow-up appointment with Dr. Babajanian,  
16 complaining of "generalized soft tissue pain, arthralgia,  
17 stiffness, [and] fatigue." (AR 366.) She stated that her  
18 medications were "inadequate in controlling [the] intensity of  
19 [her] pain." (Id.) Dr. Babajanian observed that she  
20 "exhibit[ed] tenderness" and "[m]ultiple symmetric soft tissue  
21  
22  
23

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24 <sup>25</sup> Zoloft treats depression, panic attacks, and social  
25 anxiety disorder, among other uses. See Zoloft, WebMD, [https://](https://www.webmd.com/drugs/2/drug-35-8095/zoloft-oral/sertraline-oral/details)  
26 [www.webmd.com/drugs/2/drug-35-8095/zoloft-oral/sertraline-oral/](https://www.webmd.com/drugs/2/drug-35-8095/zoloft-oral/sertraline-oral/details)  
[details](https://www.webmd.com/drugs/2/drug-35-8095/zoloft-oral/sertraline-oral/details) (last visited July 23, 2018).

27 <sup>26</sup> Tramadol is a narcotic used to relieve moderate to  
28 moderately severe pain. See Tramadol HCL, WebMD, [https://](https://www.webmd.com/drugs/2/drug-4398-5239/tramadol-oral/tramadol-oral/details)  
[www.webmd.com/drugs/2/drug-4398-5239/tramadol-oral/tramadol-oral/](https://www.webmd.com/drugs/2/drug-4398-5239/tramadol-oral/tramadol-oral/details)  
[details](https://www.webmd.com/drugs/2/drug-4398-5239/tramadol-oral/tramadol-oral/details) (last visited July 23, 2018).

1 tender points, early Heberden nodes[,]<sup>27</sup> [and] [m]ild crepitus in  
2 [her] shoulders and knees." (AR 367.) Vicodin and tramadol were  
3 discontinued (see AR 367, 384), and Dr. Babajanians prescribed a  
4 "Butrans patch,"<sup>28</sup> to be used once a week (AR 367).

5 In January 2013, she reported "generalized" "pain all over  
6 [her] body." (AR 625.) X-rays of her feet revealed "calcaneal  
7 spur[s]"; the spur on her left foot was "moderately large" but on  
8 her right it was "[s]mall," and the imaging was "otherwise  
9 unremarkable." (AR 601-02.) X-rays of both hands were  
10 "[u]nremarkable" (AR 603), and a pelvic x-ray showed "no  
11 evidence" to "suggest rheumatoid arthritis" (AR 604). Imaging of  
12 Plaintiff's "mid and upper cervical spine" was similarly  
13 "[u]nremarkable." (AR 605.) Her lumbosacral spine showed "no  
14 evidence of bone erosion to suggest rheumatoid arthritis" (AR  
15 606) but had "grade 1 retrolisthesis of L5 on S1" (AR 605).  
16 Imaging of her thoracic spine was "[e]ssentially normal." (AR  
17 606-07.)

18 In February 2013, she was noted as having "18/18 tender  
19 points." (AR 624.) In May 2013, Plaintiff sought emergency care  
20 for back pain, though she was "able to ambulate." (AR 588-89.)  
21 In June 2013, she complained of sternal pain and a tight chest.  
22 (AR 579, 584.) A few days later, she was assessed at the  
23

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24 <sup>27</sup> Heberden's nodes are bony swellings that form on the  
25 hands as a result of osteoarthritis. See What Are Heberden's  
26 Nodes?, Healthline, [https://www.healthline.com/health/](https://www.healthline.com/health/osteoarthritis/heberdens-nodes)  
[osteoarthritis/heberdens-nodes](https://www.healthline.com/health/osteoarthritis/heberdens-nodes) (last updated May 9, 2017).

27 <sup>28</sup> A Butrans patch contains a narcotic used to relieve  
28 severe ongoing pain. See Butrans Patch, Transdermal Weekly,  
WebMD, [https://www.webmd.com/drugs/2/drug-155153/](https://www.webmd.com/drugs/2/drug-155153/butrans-transdermal/details)  
[butrans-transdermal/details](https://www.webmd.com/drugs/2/drug-155153/butrans-transdermal/details) (last visited July 23, 2018).

1 emergency department with "[a]typical [chest pain]." (AR 580.)  
2 A chest x-ray that month showed "[n]o acute disease." (AR 600.)  
3 In September 2013, Plaintiff complained of left-finger and -thumb  
4 pain that occurred "after trying to pull a handle with a lot [of]  
5 effort." (AR 612.) X-rays of her left hand and thumb were  
6 ordered (AR 612-13); her left hand was "normal," with "intact"  
7 soft tissues (AR 627), and her left thumb had "no fractures,  
8 subluxations, foreign bodies or bony destructive processes" (AR  
9 628). She received steroid injections in each finger, and the  
10 "pain released after [the] injection[s]." (AR 611.) In November  
11 2013, she reported that her right shoulder was injured when a  
12 "large dog yanked [on the] leash" (AR 649), but an x-ray of the  
13 shoulder was "normal" (AR 664).

14 In January 2014, Plaintiff was seen for her chronic shoulder  
15 pain, and an MRI was ordered. (AR 643.) The MRI revealed  
16 "[m]ild-to-moderate supraspinatus," "mild infraspinatus,"  
17 "subscapularis tendinosis," and "[m]ild-to-moderate degenerative  
18 changes at the acromioclavicular joint"; "[n]o high-grade partial  
19 or full-thickness rotator cuff tendon tear, tendon retraction or  
20 muscle atrophy" was found. (AR 652-53.) In March 2014, she  
21 underwent an overnight sleep study that confirmed she had "[m]ild  
22 overall [o]bstructive [s]leep [a]pnea," with "[s]evere REM  
23 related obstructive apneas/hypopneas." (AR 656-57.) When using  
24 a CPAP machine calibrated to a pressure of 10 cm, however, the  
25 "apneas/hypopneas and snoring were eliminated, including during  
26 REM sleep while on [her] back." (AR 656.) In April 2014, she  
27 was referred to "ortho" to address "shoulder tenderness" from her  
28 "right rotator cuff impingement." (AR 641, 817.) Orthopedic

1 surgeon Raja Dhalla ordered "shoulder arthroscopy with  
2 subacromial decompression" (AR 744), which he performed on May  
3 20, 2014 (AR 720-22, 733-34, 742). He also ordered an ECG prior  
4 to her surgery; the results were "[a]bnormal" when "compared"  
5 with a 2009 ECG. (AR 769-70.) Dr. Dhalla performed an  
6 "[a]rthroscopic repair" of the tear and "debridement of [the]  
7 labrum and synovitis." (AR 766.) Postsurgery, he diagnosed  
8 Plaintiff with "[r]ight shoulder rotator cuff impingement  
9 syndrome" and observed "findings of synovitis" and a "superior  
10 labrum tear." (Id.) She was discharged from the hospital that  
11 same day. (AR 808.)

12 Also in May, Plaintiff obtained care at an arthritis clinic  
13 for "persistent" "joint pain" in "multiple sites." (AR 821, 824,  
14 826.) She reported "active depression, stress/anxiety, snor[ing]  
15 at night, fatigue, mood swing[s], memory loss, difficulty with  
16 concentration, dizziness, numbness/tingling, abdominal pain,  
17 [and] constipation" but denied "interrupted sleep, severe  
18 headache[s], crying spells, exercis[ing] regularly[,] or  
19 diarrhea." (Id.) She was "encouraged to lose weight and  
20 exercise regularly" and to "use [her] C-PAP machine on a regular  
21 basis." (AR 822, 825, 827.) She was prescribed meloxicam<sup>29</sup> and  
22 Flexeril<sup>30</sup> to treat her pain. (AR 822.) In September 2014, she  
23 complained of "shortness of breath"; she was advised to continue

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24  
25 <sup>29</sup> Meloxicam is a nonsteroidal antiinflammatory that reduces  
26 pain, swelling, and stiffness of the joints. See Meloxicam,  
27 WebMD, [https://www.webmd.com/drugs/2/drug-911/meloxicam-oral/](https://www.webmd.com/drugs/2/drug-911/meloxicam-oral/details)  
28 details (last visited July 23, 2018).

29 <sup>30</sup> Flexeril treats muscle spasms by relaxing the muscles.  
30 See Flexeril Tablet, WebMD, <https://www.webmd.com/drugs/2/drug-11372/flexeril-oral/details> (last visited July 23, 2018).

1 using her CPAP machine and was referred to pulmonology. (AR 638-  
2 39.) She visited the emergency room but showed "no significant  
3 abnormalities." (AR 687, 699.) She underwent another ECG; the  
4 results were "normal" when compared with her May 2014 test. (AR  
5 715; see also AR 699.) A chest x-ray was also "within normal  
6 limits." (AR 698, 714.) She refused to stay to "complete her  
7 evaluation," however, and was released "against medical advice."  
8 (AR 696, 710-11.)

9 In December 2014, Plaintiff complained of "pain in [her]  
10 legs" because she "ha[d] been walking 3 miles/day." (AR 635.)  
11 She was diagnosed with "shin splints" and advised to "ice" her  
12 legs and "rest from walking." (Id.) In January 2015, she  
13 visited a foot-and-ankle specialist for "orthotics for walking  
14 shoes" because she was "trying to stay active to lose weight."  
15 (AR 676.) She exhibited "[s]table foot posture with  
16 flattening/decreased medial arch" bilaterally, showed "[g]ood  
17 muscle strength," and had "adequate muscle tone and symmetry"  
18 bilaterally. (Id.) Her "range of motion for all joints from the  
19 ankle" was "[d]ecreased." (Id.) In February 2015, Plaintiff  
20 refilled her Lyrica prescription and reported that her "pain  
21 [was] controlled" on it; she "denie[d] any [other] complaints."  
22 (AR 633.) She was fitted for orthotics in March 2015 (AR 671),  
23 and in April she stated that they "help[ed] in [her] walking  
24 shoes" and "seem[ed] to be improving some of [her] painful  
25 symptoms" (AR 669-70). She had "no [foot] complaints at th[at]  
26 time" and noted only that she was "concerned with arthritis in  
27 [her] hands." (AR 670.)

28 On April 22, 2015, she reported to family physician Gita

1 Tavassoli that "several days" prior she had "passed out" while  
2 "shaking" and had "wet herself." (AR 836.) Dr. Tavassoli  
3 ordered an ECG and EEG and advised "avoid[ing] taking [her]  
4 med[ications] together." (Id.) The EEG was "normal."<sup>31</sup> (AR  
5 679.) She saw neurologist Maninder S. Arora in June 2015,  
6 reporting that she had had two such episodes of "confusion,  
7 disorientation, with whole body jerking," resulting in her being  
8 "unresponsive on the floor for a few minutes." (AR 682.) Dr.  
9 Arora noted that her symptoms were "indicative of generalized  
10 tonic-clonic seizure" and ordered a brain MRI. (AR 683.) The  
11 MRI demonstrated "no acute or subacute abnormality" and showed  
12 only "[m]ild" bilateral mastoid and ethmoid sinus mucosal  
13 thickening. (AR 681.) It found "several old periventricular and  
14 subcortical white matter [and] small vessel infarcts," which  
15 apparently was a "very common and non-specific MRI finding,"  
16 though the "overall number [was] more than usually seen at  
17 [Plaintiff's] age." (Id.) In August 2015, Plaintiff reported  
18 another episode. (AR 684.) Dr. Arora noted that a "normal EEG  
19 d[id] not rule out seizure disorder" and prescribed an  
20 "antiseizure medication," Topamax. (AR 685.)

21 In June 2015, Plaintiff had a sleep study done, showing that  
22 at a pressure of "15.0 cwp" she had a "marked improvement of  
23 apnea and hypoxia" and that she "tolerated PAP therapy well."  
24 (AR 844-46.) Her mask was adjusted in July 2015. (AR 840.) In  
25 August 2015, Plaintiff had a bone-density test; the results were  
26

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27 <sup>31</sup> It doesn't appear that an ECG was performed after Dr.  
28 Tavassoli recommended it. But her most recent ECG before that,  
in September 2014, was "normal." (AR 715.)



1 "normal." (AR 832-34.)

2 ii. *Consulting Opinions*

3 In June 2013, orthopedic surgeon Vicente R. Bernabe saw  
4 Plaintiff for a consulting exam, with mostly normal results.  
5 (See AR 565-69.) Her gait was "normal," she was "able to toe and  
6 heel walk," and she "did not use any assistive device to  
7 ambulate." (AR 566.) Her cervical spine had "no significant  
8 tenderness to palpation," and its "[r]ange of motion was full and  
9 painless." (Id.) "[I]nspection of [her] thoracic spine was  
10 unrevealing," and "[p]alpation elicited no tenderness." (AR  
11 567.) Her lumbar spine had a "normal" lordotic curve, and "no  
12 spasm" was observed. (Id.) Though she was "tender at the  
13 thoracolumbar and lumbosacral junction," her "[s]ciatic notches  
14 and gluteal muscles were not tender." (Id.) Her shoulders had  
15 "no significant tenderness to palpation," and her elbows, wrists,  
16 hands, hips, knees, ankles, and feet had "no tenderness" at all.  
17 (AR 567-68.) She also had "full and painless" range of motion  
18 and "grossly intact" motor strength in all extremities. (Id.)

19 Dr. Bernabe diagnosed Plaintiff with a "[t]horacolumbar and  
20 lumbosacral musculoligamentous strain" and a "[h]istory of  
21 fibromyalgia." (AR 568.) He found that she could "lift and  
22 carry 50 pounds occasionally and 25 pounds frequently" and push  
23 and pull "without limitations." (Id.) She could walk and stand  
24 for "six hours" and sit for "six hours" in an eight-hour day.  
25 (AR 569.) She had no agility, manipulative, or postural  
26 limitations. (Id.) Dr. Bernabe did not review any of  
27 Plaintiff's medical records in forming his opinion. (AR 565.)

28 That same month, Plaintiff saw psychologist Colleen Daniel

1 for a consulting exam. (AR 572-76.) Upon examination,  
2 Plaintiff's speech was "clear" and her thoughts were "organized,"  
3 though "[p]sychomotor slowing" was "evident" and her intellectual  
4 functioning was "below average." (AR 574.) Her memory was  
5 "moderately diminished for immediate, intermediate[,] and remote  
6 memories," and she had "markedly diminished" attention and  
7 concentration span. (Id.) She possessed "fair" insight,  
8 judgment, and fund of knowledge. (Id.) Dr. Daniel found that  
9 "[g]iven [Plaintiff's] test results and clinical data," her  
10 overall cognitive ability fell in the "borderline intellectual  
11 functioning range." (AR 575; see also AR 574-75 (results of  
12 tests conducted).) She diagnosed her with attention deficit  
13 hyperactivity disorder, generalized anxiety disorder, and  
14 dysthymic disorder. (AR 576.) She opined that Plaintiff could  
15 "understand, remember and carry out short, simplistic  
16 instructions with mild difficulty" but would have "moderate  
17 difficulty" doing so for tasks with "detailed and complex  
18 instructions." (Id.) She would have "no difficulty" making  
19 simplistic work-related decisions without special supervision,  
20 "mild difficulty" complying with safety- and attendance-related  
21 job rules and responding to changes in a normal workplace, and  
22 "moderate difficulty" maintaining persistence and pace in a  
23 normal workplace. (Id.)

24 In July 2013, orthopedic surgeon David Subin reviewed  
25 Plaintiff's record and assessed her functional limitations. (AR  
26 88-89, 93.) He determined that she could "lift and/or carry" 50  
27 pounds occasionally and 25 pounds frequently, "[s]tand and/or  
28 walk" for "6 hours in an 8-hour workday," sit for "6 hours in an

1 8-hour workday," and "[p]ush and/or pull" an "[u]nlimited"  
2 amount. (AR 89.) She had no postural, manipulative, visual,  
3 communicative, or environmental limitations. (Id.)

4 In December 2013, internist D. Rose also assessed  
5 Plaintiff's functional limitations. (AR 103-05, 125.) He found  
6 the same exertional limitations as Dr. Subin but determined  
7 additional postural and environmental limitations. (See id.)  
8 Plaintiff could "[f]requently" climb ramps and stairs, balance,  
9 stoop, kneel, crouch, and crawl but could "[n]ever" climb  
10 ladders, ropes, or scaffolds "due to [her] morbid obesity." (AR  
11 104.) She could have "[u]nlimited" exposure to extreme cold and  
12 heat, wetness, humidity, noise, vibration, fumes, odors, dusts,  
13 gases, and poor ventilation but needed to "[a]void even moderate  
14 exposure" to such hazards as "unprotected heights" and "dangerous  
15 machinery" "due to [her] morbid obesity." (AR 104-05.) She also  
16 needed to "avoid frequent walking on uneven terrain" because of  
17 her obesity. (AR 105.)

18 *iii. Daily Activities*

19 In April 2013, Plaintiff's husband filled out a third-party  
20 function report (AR 258-66) and helped her complete a function  
21 report for herself (AR 267-75). In his report, he wrote that she  
22 was "unable to walk or stand for periods of time" and didn't have  
23 "good" balance. (AR 258.) She took care of pets by "feed[ing]  
24 them"; her niece helped by "bath[ing] them and tak[ing] them  
25 outside."<sup>32</sup> (AR 259.) He wrote that she "use[d] [her] C-PAP  
26

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27 <sup>32</sup> In November 2013, Plaintiff reported a sore shoulder  
28 after one of her dogs yanked its leash while she was walking it.  
(AR 649.) Plaintiff thus apparently also walked the dogs.

1 machine." (Id.) He helped her dress by "hook[ing] her bra for  
2 her," but she was able to "take[] showers," feed herself without  
3 problems, shave with a "special razor," and do her hair, though  
4 "sometime[s] she ha[d] trouble lifting [her] arms." (Id.) He  
5 had to remind her to take her medication, and she couldn't cook  
6 because she was "unable to stand for periods of time." (AR 260.)  
7 She went outside "daily," drove, and could go out alone. (AR  
8 261.) She shopped "in stores" a "couple times a month" for "food  
9 and clothing." (Id.) She could count change but was "unable to  
10 read or spell words." (Id.) He wrote that she "talk[ed] to  
11 friends and family on [the] phone" "daily" but was "unable to do  
12 social activities" or walk, stand, or sit "because of [her]  
13 pain." (AR 262-63.) She could lift "maybe 5 to 10 pounds," walk  
14 "maybe 150 to 200 feet," and needed to rest "about 15 minutes"  
15 before resuming walking. (AR 263.)

16 The function report he helped Plaintiff complete assessed  
17 similar limitations. (See AR 267-75.) She stated that her  
18 "hands cramp[ed]" when she cooked (AR 269), she went to church  
19 regularly on Sundays (AR 271), and she didn't finish what she  
20 started (AR 272). She claimed that she "c[ouldn't] lift 10  
21 pounds" and was "unable to walk any distance" or "pay attention  
22 for any amount of time." (Id.) Her impairment affected her  
23 ability to lift, squat, bend, stand, reach, walk, sit, kneel,  
24 climb stairs, remember, complete tasks, concentrate, understand,  
25 and use her hands. (Id.)

26 Plaintiff reported to Dr. Daniel in June 2013 that she  
27 "spen[t] her time watching television and sleeping" and "need[ed]  
28 assistance with household chores, shopping[, ] and ambulation."

1 (AR 573.) Her husband "manage[d] the money." (Id.) She "ha[d]  
2 a valid driver's license and [was] able to drive." (Id.)

3 In October 2013, Plaintiff's friend filled out a third-party  
4 function report (AR 284-92) and helped Plaintiff complete another  
5 function report for herself (AR 293-301). She wrote that  
6 Plaintiff was "weak and in pain constantly [and] her medications  
7 limit[ed] her drastically, [as did] her lack of concentration,  
8 depression, mobility, drive[, ] and energy." (AR 284.) She  
9 stated that Plaintiff's niece and nephew did "housework, yard  
10 work, prepare[d] meals, [and] shop[ped]." (AR 285.) Plaintiff  
11 had "no problem" with personal care but needed "to be reminded or  
12 asked if she'[d] taken her med[ication]." (AR 285-86.) It took  
13 her a "couple minutes" to prepare "breakfasts." (AR 286.) She  
14 went outside "once or twice a day" and traveled by driving or  
15 riding in a car. (AR 287.) She shopped "[i]n stores" for an  
16 "hour to 2 h[ours]" "once a week" for "food and clothing" and  
17 "household supplies." (Id.) She noted that Plaintiff could "pay  
18 bills with help but checkbook balancing or writing checks [was]  
19 something she c[ouldn't] do." (Id.) She watched television  
20 "ver[y] well" and partook in "crafts, sewing, [and] art"  
21 "depend[ing] on how she[ was] feeling." (AR 288.) She had "no  
22 patience" and "d[id] not carry her groceries because of pain and  
23 weakness." (AR 289.) She could walk "a block maybe" before  
24 needing to rest for "5 to 10 min[utes]." (Id.) She could pay  
25 attention for "10" or "15" minutes before "get[ting] distracted."  
26 (Id.)

27 Plaintiff completed her own October 2013 function report  
28 with her friend's help. (See AR 293-301.) Plaintiff claimed

1 that her "ADD cause[d] [her] to have difficulty learning and  
2 remembering stuff." (AR 293.) Her fibromyalgia caused "pain in  
3 [her] body that [made] it hurt[] to stand or move around," and  
4 her sleep apnea caused fatigue. (Id.) She prepared such food as  
5 a "bowl of cereal, coffee, [or] bagel," but her niece prepared  
6 the "rest of [the] meals." (AR 295.) She drove a car and could  
7 go out alone. (AR 296.) When she shopped – "maybe once a week"  
8 for "an hour to 3 h[ours]" – she "use[d] carts to lean on and  
9 mobility carts" to get around the store. (Id.) She spent her  
10 days "watching TV and movies, craft[ing], sewing if it [was] a  
11 good day, [and] flower arranging," and she was "pretty good" at  
12 doing those activities. (AR 297.) Though she had stated in  
13 April that she went to church on Sundays (see AR 271), by October  
14 she apparently had stopped going and went "on a regular basis"  
15 only to doctor appointments (AR 297-98). She couldn't "keep in"  
16 things she was "told or instructed," and her "medications  
17 hamper[ed] [her] seeing and memory." (AR 298.)

18 At her August 7, 2015 hearing, Plaintiff testified that she  
19 "hurt from head to toe" "[a]ll day" from fibromyalgia and  
20 arthritis. (AR 41-42.) She rated the "average amount of pain"  
21 she experienced at a "seven" of 10. (AR 41.) She stated that  
22 her pain "pretty much stay[ed] the same" on Lyrica. (AR 41-42.)  
23 On an average day, she pet her dogs, watered "out in front of  
24 [her] house," did dishes, watched television, and "exercise[d]"  
25 in the pool "a couple times." (AR 42-43.) She "drop[ped] stuff  
26 all the time" because she had difficulty "keep[ing] grip." (AR  
27 43-44.) She experienced "shaking in [her] hands" and "sometimes"  
28 in her arms and legs "[e]very day." (AR 45-46.) Her ability to

1 walk and drive was affected by the shaking in her legs. (AR 46.)  
2 She could lift a "gallon jug" but only "up the steps and that[]  
3 [was] about it." (AR 48.) She alleged that she could sit for  
4 only "10/15" minutes before needing to change position and walk  
5 for "maybe ten minutes" before needing to spend "three or four  
6 minutes" catching her breath. (AR 48-49.)

7 She testified that she had just "got [her CPAP machine]  
8 straightened [out]" and it helped her "sleep a little longer  
9 through the night," but she still got "air in [her] eyes." (AR  
10 49-50.) She stated that her shoulder was "doing really good"  
11 after surgery and therapy but that it now had "a pull to it . . .  
12 when [she] grip[ped] something" and "it hurt[] if [she] lift[ed]  
13 [something] heavy." (AR 51.) She hadn't been driving "at all"  
14 because of her recent seizures. (AR 53-54.) She said that she  
15 "ha[dn't] tried to work because [she] d[idn't] know what [she]  
16 c[ould] do" with her limitations. (AR 56.)

### 17 3. Analysis

18 The ALJ found that Plaintiff's symptom statements were "not  
19 entirely credible" because (1) the "objective findings . . .  
20 fail[ed] to provide strong support for [her] allegations of  
21 disabling symptoms and limitations" (AR 21), (2) her treatment  
22 was "essentially conservative in nature" (AR 21, 24), (3) her  
23 "pain was controlled on Lyrica" (AR 23), (4) she was "non-  
24 compliant with CPAP usage" (id.), (5) her daily activities were  
25 "indicative of greater functional capabilities" (AR 25), and (6)  
26 her "marginal intermittent and part-time" work history indicated  
27 that a "lack of interest in working" rather than her medical  
28 conditions "account[ed] for her current lack of employment"

1 (id.). Plaintiff argues that the ALJ improperly rejected her  
2 "pain and symptom testimony." (J. Stip. at 5-12, 20-21.) She is  
3 correct; the ALJ materially erred in discounting her statements'  
4 credibility, and those errors were not harmless.

5 i. *Objective Findings*

6 Contradiction with evidence in the medical record is a  
7 "sufficient basis" for rejecting a claimant's subjective symptom  
8 testimony. Carmickle v. Comm'r, Soc. Sec. Admin., 533 F.3d 1155,  
9 1161 (9th Cir. 2008); see Morgan v. Comm'r of Soc. Sec. Admin.,  
10 169 F.3d 595, 600 (9th Cir. 1999) (upholding "conflict between  
11 [plaintiff's] testimony of subjective complaints and the  
12 objective medical evidence in the record" as "specific and  
13 substantial" reason undermining credibility). Although a lack of  
14 medical evidence "cannot form the sole basis for discounting pain  
15 testimony, it is a factor that the ALJ can consider in [her]  
16 credibility analysis." Burch v. Barnhart, 400 F.3d 676, 681 (9th  
17 Cir. 2005); Rollins v. Massanari, 261 F.3d 853, 857 (9th Cir.  
18 2001) (citing § 404.1529(c)(2)).

19 The ALJ found that the "objective findings . . . fail[ed] to  
20 provide strong support for [Plaintiff's] allegations of disabling  
21 symptoms and limitations." (AR 21.) She recognized that  
22 Plaintiff had a "history of chronic pain complaints stemming from  
23 a diagnosis of fibromyalgia," among other impairments, but found  
24 that the "objective signs and findings on physical examinations  
25 ha[d] not been particularly adverse[,] showing minimal if any  
26 neurological deficits." (Id.) She cited an abundance of  
27 "normal" and "unremarkable" physical examinations and imaging to  
28 support that reason. (See AR 21-25.) But no laboratory tests or



1 objective findings confirm the presence or severity of  
2 fibromyalgia. See Benecke v. Barnhart, 379 F.3d 587, 590 (9th  
3 Cir. 2004). Indeed, fibromyalgia manifests with an "absence of  
4 symptoms that a lay person may ordinarily associate with joint  
5 and muscle pain." Revels v. Berryhill, 874 F.3d 648, 656 (9th  
6 Cir. 2017) (citing Rollins, 261 F.3d at 863 (Ferguson, J.,  
7 dissenting)). Fibromyalgia patients have "muscle strength,  
8 sensory functions, and reflexes that are normal"; "[t]heir joints  
9 appear normal, and further musculoskeletal examination indicates  
10 no objective joint swelling." Id. (alteration omitted). In such  
11 cases, "[t]he condition is diagnosed 'entirely on the basis of  
12 the patients' reports of pain and other symptoms.'" Id. (quoting  
13 Benecke, 379 F.3d at 590).

14 Plaintiff's medical records demonstrate extensive complaints  
15 of generalized muscle pain (see, e.g., AR 512-14 (Oct. 2009:  
16 "pain in both her upper and lower body"), 506 (July 2010: "aching  
17 pain" in "lower back"), 496 (May 2011: "achy sensation all  
18 over"), 390 (June 2012: "pain all over"), 366 (Oct. 2012:  
19 "generalized soft tissue pain"), 625 (Jan. 2013: "generalized"  
20 "pain all over body"), 821 (May 2014: "persistent" "joint pain"  
21 in "multiple sites")), fatigue (see, e.g., AR 508 (Nov. 2009:  
22 noting "fatigue" "over several years"), 398 (Mar. 2012:  
23 "[p]ositive" for fatigue), 366 (Oct. 2012: complaining of  
24 "fatigue")), sleep problems (see, e.g., AR 525 (Feb. 2007:  
25 "cannot sleep at nighttime due to the pain")),<sup>33</sup> depression (see,

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27 <sup>33</sup> Though many of Plaintiff's fatigue- and sleep-related  
28 complaints stemmed from obstructive sleep apnea (see, e.g., AR  
414 (complaining of "generalized fatigue and myalgia, relating  
the symptoms to difficulties with sleep, due to sleep apnea")),

1 e.g., AR 514 (Oct. 2009: "on Prozac" for "depression"), 821 (May  
2 2014: "active depression" and "stress/anxiety")), and poor  
3 concentration (see, e.g., AR 361 (Mar. 2012: "[m]oderate[ly]"  
4 poor concentration), 358 (Apr. 2012: "[s]evere[ly]" poor  
5 concentration), 356 (May 2012: "[m]oderate[ly]" poor  
6 concentration)), all of which are indicative of fibromyalgia.  
7 See SSR 12-2p, 2012 WL 3104869, at \*3 (July 25, 2012) (describing  
8 fibromyalgia "symptoms, signs, or co-occurring conditions" as  
9 including "manifestations of fatigue, cognitive or memory  
10 problems ('fibro fog'), waking unrefreshed, depression, anxiety  
11 disorder, or irritable bowel syndrome"); Revels, 874 F.3d at 657  
12 (same); Benecke, 379 F.3d at 589-90 (explaining that common  
13 symptoms of fibromyalgia "include chronic pain throughout the  
14 body, multiple tender points, fatigue, stiffness, and a pattern  
15 of sleep disturbance that can exacerbate the cycle of pain and  
16 fatigue associated with this disease").

17       Moreover, at least four times she was recorded as having  
18 more than 11 of 18 tender points. (See AR 512 (Oct. 2009:  
19 "Patient has greater than 11 positive trigger points"), 508 (Nov.  
20 2009: "12/18" "tender points [at] upper and lower back, chest  
21 wall, base of the neck, [and] knees"), 415 (Nov. 2011: "[m]ild  
22 diffuse soft tissue tenderness including 12/18 defined tender  
23 points"), 624 (Feb. 2013: "18/18 tender points"); cf. AR 505  
24

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25 that condition itself likely was connected to her fibromyalgia.  
26 See Sleep Apnea in Patients with Fibromyalgia, Practical Pain  
27 Mgmt., [https://www.practicalpainmanagement.com/pain/  
28 myofascial/fibromyalgia/sleep-apnea-patients-fibromyalgia-  
growing-concern](https://www.practicalpainmanagement.com/pain/myofascial/fibromyalgia/sleep-apnea-patients-fibromyalgia-growing-concern) (last updated Sept. 20, 2011) ("Patients with  
fibromyalgia have a tenfold increase in sleep-disordered  
breathing, including obstructive sleep apnea.").

1 (Oct. 2010: "6/18" "tender points in the upper and lower back and  
2 chest wall").) "[T]ender-point examinations themselves  
3 constitute 'objective medical evidence' of fibromyalgia."  
4 Revels, 874 F.3d at 663 (quoting SSR 12-2p, 2012 WL 3104869, at  
5 \*2-3) (noting that plaintiff's showing of 11 or more tender  
6 points at "five out of eight appointments" met "cutoff for a  
7 diagnosis of fibromyalgia under SSR 12-2P's first set of  
8 criteria").

9 Defendant argues that "Plaintiff presents no doctor[']s  
10 opinion that suggests [she] has restrictions anywhere close to  
11 her allegations," citing the less-restrictive opinions of Drs.  
12 Bernabe, Subin, and Rose. (J. Stip. at 18.) But Dr. Bernabe  
13 reviewed "no medical records" in making his orthopedic assessment  
14 of Plaintiff's disability. (AR 565.) SSR 12-2p provides, and  
15 the 9th Circuit has recognized, that an "analysis of [a  
16 fibromyalgia patient's] RFC should consider 'a longitudinal  
17 record whenever possible'" because "the symptoms of fibromyalgia  
18 'wax and wane.'" Revels, 874 F.3d at 657 (quoting SSR 12-2p,  
19 2012 WL 3104869, at \*6). The opinions of the state-agency  
20 consultants, Drs. Subin and Rose, suffer from the same  
21 "fundamental misunderstanding of fibromyalgia" as the ALJ's  
22 decision. See id. at 662. Both doctors found Plaintiff  
23 "[p]artially [c]redible" because her "allegations of severity  
24 [were] not fully supported by objective findings" (AR 88 (Dr.  
25 Subin), 102 (Dr. Rose)), and in so doing failed to "construe[]  
26 [the medical evidence] in light of fibromyalgia's unique symptoms  
27 and diagnostic methods." Revels, 874 F.3d at 662.

28 Thus, the lack of abnormal objective findings on examination

1 was not a sufficient basis to discount Plaintiff's subjective  
2 symptom statements. Id. at 666; Hamilton-Carneal v. Colvin, 670  
3 F. App'x 613, 614 (9th Cir. 2016); Payan v. Colvin, 672 F. App'x  
4 732, 732 (9th Cir. 2016).

5 ii. *Conservative Treatment*

6 The ALJ found that Plaintiff's "overall treatment ha[d] been  
7 essentially conservative in nature and [was] not comm[ensurate]  
8 with the alleged severity of her overall conditions." (AR 21.)  
9 Conservative treatment is a legitimate reason for an ALJ to  
10 discredit a claimant's testimony regarding the severity of an  
11 impairment. Parra, 481 F.3d at 751. But "[a]ny evaluation of  
12 the aggressiveness of a treatment regimen must take into account  
13 the condition being treated," Revels, 874 F.3d at 667, and a  
14 claimant "cannot be discredited for failing to pursue non-  
15 conservative treatment options where none exist," Lapeirre-Gutt  
16 v. Astrue, 382 F. App'x 662, 664 (9th Cir. 2010). "Fibromyalgia  
17 is treated with medications and self-care," McNeal v. Berryhill,  
18 No. EDCV 17-0993 SS, 2018 WL 2078810, at \*7 (C.D. Cal. May 2,  
19 2018), rather than "surgery or other more radical options,"  
20 Sharpe v. Colvin, No. CV 13-01557 SS, 2013 WL 6483069, at \*8  
21 (C.D. Cal. Dec. 10, 2013).

22 Plaintiff was prescribed myriad medications for her  
23 impairments, including amitriptyline, Prozac, Zantac, temazepam,  
24 Lyrica, Neurontin, Cymbalta, Tagamet, Vicodin, Robaxin, Celexa,  
25 Naprosyn, nortriptyline, Topamax, Zoloft, tramadol, Butrans  
26 patches, meloxicam, and Flexeril, to treat her pain, depression,  
27 anxiety, insomnia, and other symptoms related to fibromyalgia.  
28 (See AR 348-49, 351, 367, 391, 415, 502-03, 507-10, 512, 514-16,

1 518-19, 521-22, 524-25, 532, 535, 685, 822.) Her doctors had  
2 increased her Lyrica prescription to a more aggressive dosage,  
3 but they had to decrease it again after she experienced liver  
4 problems. (See AR 516 (May 2008: "we need to keep upping her  
5 Lyrica as her pain keep[s] on worsening"), 508-09 (Nov. 2009:  
6 Lyrica dose of 700 mg decreased "gradually" to 450 mg because  
7 liver enzymes "elevated"), 57-58 (Aug. 2015: Plaintiff testifying  
8 that her doctors increased her Lyrica prescription but it caused  
9 "a problem with [her] liver").) Her doctors regularly  
10 supplemented Lyrica with narcotics, such as Vicodin, tramadol,  
11 and Butrans patches, to further manage her pain. Though at times  
12 she tried to "minimiz[e]" her use of narcotics because they were  
13 "sleep-inducing" or "too strong" (see AR 390, 421, 497), her  
14 longitudinal use of them was fairly regular (see AR 58, 353, 366-  
15 67, 390-91, 399, 407, 494, 496, 502-03, 506, 508, 510, 512, 514,  
16 516-18, 560, 566, 625, 643). See SSR 12-2p, 2012 WL 3104869, at  
17 \*6 (Commissioner should "consider a longitudinal record whenever  
18 possible because the symptoms of [fibromyalgia] can wax and  
19 wane"). She also received a ketorolac injection in August 2011.  
20 (AR 431.) The use of narcotics to control pain in conjunction  
21 with injections likely does not constitute "conservative"  
22 treatment. See, e.g., Ruiz v. Berryhill, No. CV 16-2580-SP, 2017  
23 WL 4570811, at \*5-6 (C.D. Cal. Oct. 11, 2017) (treatment by  
24 "narcotic medication, facet joint injections, and epidural  
25 steroid injections" not conservative). Moreover, "[t]he ALJ  
26 provided no explanation why [s]he deemed this treatment  
27 'conservative' for fibromyalgia." Revels, 874 F.3d at 667; see  
28 Sharpe, 2013 WL 6483069, at \*8 (fibromyalgia treatment not

1 conservative when plaintiff was "consistently and heavily  
2 medicated" and "referred to fibromyalgia specialists"); Matamoros  
3 v. Colvin, No. CV 13-3964-CW, 2014 WL 1682062, at \*4 (C.D. Cal.  
4 Apr. 28, 2014) (fibromyalgia treatment consisting of "trigger  
5 point injections and a variety of medications" not conservative).

6 To the extent her mental impairments can be distinguished  
7 from her physical fibromyalgia-related symptoms, the ALJ may have  
8 properly discounted those symptoms based on "conservative  
9 treatment consisting mainly of medication management through her  
10 primary care physician." (See AR 24.) Plaintiff did not "pursue  
11 regular mental health care treatment," seeing Dr. Offenstein, a  
12 psychologist, or his nurse practitioner only from March to July  
13 2012, to treat her grief after her father-in-law passed away.  
14 (AR 347-55, 356-58, 361-63); see Matin v. Comm'r of Soc. Sec.  
15 Admin., 478 F. App'x 377, 379 (9th Cir. 2012). As noted by the  
16 ALJ, "the record includes no hospitalization or extensive  
17 psychotherapy treatment." (AR 24.) Rather, she managed her  
18 depression and anxiety through medications prescribed by her  
19 primary-care doctors at Riverside Medical Clinic. Such mental-  
20 health treatment likely was conservative. But see Nguyen v.  
21 Chater, 100 F.3d 1462, 1464-65 (9th Cir. 1996) (claimant's  
22 failure to seek any psychiatric treatment for over three years  
23 not legitimate basis for discounting medical opinion).

24 But Plaintiff's overall treatment was likely not  
25 conservative, and thus that was not a clear and convincing reason  
26 to discount her statements' credibility. See Revels, 874 F.3d at  
27 667.

1                   iii. *Pain Controlled On Lyrica*

2           The ALJ found that Plaintiff "reported that her pain was  
3 controlled on Lyrica." (AR 23 (citing only AR 633); see also AR  
4 25.) "Impairments that can be controlled effectively with  
5 medication are not disabling for the purpose of determining  
6 eligibility for SSI benefits." Warre v. Comm'r of Soc. Sec.  
7 Admin., 439 F.3d 1001, 1006 (9th Cir. 2006). But the "symptoms  
8 of fibromyalgia 'wax and wane,'" and "a person may have 'bad days  
9 and good days.'" Revels, 874 F.3d at 657 (quoting SSR 12-2p,  
10 2012 WL 3104869, at \*6).

11           Though at times Lyrica helped manage Plaintiff's pain (see,  
12 e.g., AR 506 (July 2010: Lyrica "has been helpful"), 505 (Oct.  
13 2010: Plaintiff "feel[s] relatively well" on Lyrica), 497 (Apr.  
14 2011: pain "relatively controlled on [L]yrica and naproxen"), 414  
15 (Nov. 2011: "Lyrica remains effective"), 633 (Feb. 2015: "pain  
16 controlled with Lyrica")), in fact, the medication's  
17 effectiveness fluctuated (see, e.g., AR 508 (Nov. 2009: "[t]rial  
18 of multiple medications with inadequate control of pain"), 366  
19 (Oct. 2012: "[c]urrent medications[] inadequate in controlling  
20 intensity of pain")), and Plaintiff often turned to narcotics to  
21 obtain further relief (see, e.g., AR 496 (May 2011: "Takes  
22 Vicodin . . . once a day"), 391 (June 2012: tramadol "for pain"),  
23 366-67 (Oct. 2012: using Vicodin "twice or sometimes three times  
24 a day," so discontinued and "Butrans patch" prescribed instead)).  
25 Moreover, Plaintiff testified that though Lyrica "work[ed]," it  
26 wasn't "enough to stop the pain." (AR 57; see also AR 496 (May  
27 2011: feeling "achy sensation all over" despite being "slightly  
28 better since on Lyrica," and taking Vicodin "once a day").) An

1 ALJ "should consider 'a longitudinal record whenever possible.'"   
2 Revels, 874 F.3d at 657 (quoting SSR 12-2p, 2012 WL 3104869, at   
3 \*6). The ALJ here was provided with eight years of medical   
4 records; focusing on Lyrica's effectiveness at only one point in   
5 time was error. Ghanim v. Colvin, 763 F.3d 1154, 1160 (9th Cir.   
6 2014) (reviewing court "may not affirm simply by isolating a   
7 specific quantum of supporting evidence" (citations omitted)).

8 *iv. Noncompliance With C-PAP Machine*

9 The ALJ further found that Plaintiff was "consistently noted   
10 throughout the treatment record to have been non-compliant with   
11 CPAP usage." (AR 23.) An ALJ may discount a claimant's symptom   
12 testimony based on a "lack of consistent treatment." Burch, 400   
13 F.3d at 681. But "no adverse credibility finding is warranted   
14 where a claimant has a good reason for failing to obtain   
15 treatment." Lapeirre-Gutt, 382 F. App'x at 664 (citing Orn v.   
16 Astrue, 495 F.3d 625, 638 (9th Cir. 2007)).

17 Plaintiff contends that her noncompliance was because of an   
18 "inability to afford the machine." (J. Stip. at 10.) She also   
19 explains that she had "problems with the fit of her mask." (Id.   
20 at 9-10.) Plaintiff was diagnosed with sleep apnea in June 2007   
21 (AR 457), and in August it was noted that she "could not tolerate   
22 [the] standard CPAP mask" (AR 471). A new mask was immediately   
23 ordered for her. (AR 473.) In November 2007, she stated that   
24 she "could not afford to rent the CPAP machine on a monthly   
25 basis" and "ha[d] stopped using [the] machine due to [that] cost   
26 issue." (AR 518.) Two years later, in October 2009, she was   
27 "not using her nasal CPAP" and was referred "back to pulmonary."   
28 (AR 514.) Three weeks later, she was still not "using her nasal



1 CPAP" but had "an appointment with pulmonary next week." (AR  
2 512.) At that appointment, in November 2009, a pulmonologist  
3 noted that her machine had "too much pressure," and he  
4 recommended several adjustments. (AR 557-58.)

5 In June 2012, she still "ha[d] not used CPAP due to  
6 frustration with the fit" and "ha[d] not seen pulmonology to  
7 discuss fitting or titration for several years." (AR 391.) In  
8 August 2012, she was reevaluated for sleep apnea (AR 382) and  
9 another mask was ordered (AR 461-62). In October 2012, however,  
10 she still "struggle[d] with each mask" (AR 376), and a "new  
11 order" was placed (AR 463-65). In February 2013, she was using  
12 the CPAP mask "inconsistent[ly]" (AR 624) and hadn't gotten it  
13 "adjusted" by June 2013 (AR 617). She underwent a sleep study in  
14 March 2014 to calibrate her mask (AR 656-57), and in May 2014 she  
15 was "instructed to use [her] C-PAP machine on a regular basis"  
16 (AR 822, 825, 827). In September 2014, she was advised to  
17 "continue" her CPAP usage (AR 638), implying that she had been  
18 using it. She completed another sleep study in June 2015 (AR  
19 844-46); her mask was "[a]djusted" in July (AR 840), and follow-  
20 up "goals" included "ensur[ing] CPAP treatment compliance" (AR  
21 841). Plaintiff testified in August 2015 that she had "just went  
22 and got [her CPAP mask] straighted up" and that it helped her  
23 "sleep a little longer through the night." (AR 49.)

24 Failure to seek treatment because of a "lack of funds" is a  
25 valid reason for limited treatment. Orn, 495 F.3d at 638  
26 (holding that benefits cannot be denied when plaintiff's failure  
27 to obtain treatment arises from lack of medical insurance (citing  
28 Gamble v. Chater, 68 F.3d 319, 321 (9th Cir. 1995))); see Smolen,

1 80 F.3d at 1284 (Plaintiff "had not sought treatment" because  
2 "she had no insurance and could not afford treatment"). As  
3 described above, Plaintiff seemingly stopped using the CPAP  
4 machine in late 2007 because she could not afford it. Although  
5 that 2007 treatment note is the only record of cost issues in  
6 relation to her CPAP machine, money problems appear elsewhere in  
7 the record, including times when she held off on or canceled  
8 other treatment for financial reasons.

9 In May 2008, she reported "financial stress [because] her  
10 husband [was] working less hours." (AR 516.) In December 2009,  
11 her doctor recorded that they would "hold off on egd/colonoscopy  
12 for now given [Plaintiff's] financial situation." (AR 533.) At  
13 an appointment in November 2011, she asked that certain  
14 "paperwork [be] filled out to help with the cost of [her]  
15 med[ication]." (AR 414.) In March 2012, Plaintiff reported to  
16 Dr. Offenstein that she had "constant" "financial worry" (AR  
17 361), told his nurse practitioner that she was "unstable  
18 financially" (AR 353), and canceled an appointment because she  
19 had "no money" for it (AR 359). And in May 2012, she reported  
20 being worried about making her "house payment" and paying  
21 "bills." (AR 357.) The ALJ recognized Plaintiff's apparent  
22 financial difficulties only in summarizing her mental-health  
23 treatment (AR 24 (describing "constant financial worry" Plaintiff  
24 reported to her psychologist)) but not in the context of her  
25 ability to afford her CPAP machine (see AR 23-24).

26 It is unclear whether Plaintiff's inability to afford the  
27 CPAP machine or her frustration with the myriad adjustments  
28 accounts for the extended periods when she didn't follow through

1 on obtaining appropriately fitted masks. Likely it was a  
2 combination of the two. To the extent her financial instability  
3 explained her noncompliance, the ALJ was wrong to discount the  
4 credibility of her symptom statements on that basis. See  
5 Lapeirre-Gutt, 382 F. App'x at 664; Orn, 495 F.3d at 638; Smolen,  
6 80 F.3d at 1284. Although Plaintiff's ability to seek and  
7 receive other care during the relevant period suggests that  
8 perhaps she could afford the machine at least at times, see  
9 Flaten v. Sec'y of Health & Human Servs., 44 F.3d 1453, 1464 (9th  
10 Cir. 1995) (affirming ALJ's discounting of plaintiff's "claim  
11 that lack of money prevented her from seeking help for ongoing  
12 problems" "because she sought appropriate medical care . . . for  
13 other medical symptoms . . . during the intervening years"), the  
14 ALJ failed to recognize that financial problems may have impacted  
15 Plaintiff's "non-complian[ce]" (see AR 23-24). Thus, the  
16 noncompliance likely was not a sufficient reason to discount  
17 Plaintiff's symptom statements.<sup>34</sup>

18 v. *Daily Activities*

19 The ALJ further discounted Plaintiff's pain and symptom  
20 testimony because her daily activities were "indicative of  
21 greater functional capabilities." (AR 25.) He noted that she  
22 "testified to watering in front of her house, washing dishes,  
23 swimming a couple of times at the local pool, and shopping."  
24

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25 <sup>34</sup> The ALJ also did not explain how noncompliance with her  
26 CPAP machine, used only for treating sleep apnea, demonstrated  
27 that her subjective fibromyalgia-related pain testimony was not  
28 credible. See Cagle v. Colvin, No. 1:15-cv-00852-SKO, 2016 WL  
3912950, at \*9 (E.D. Cal. July 20, 2016) (finding that  
plaintiff's "failure to use his CPAP mask" was not "proper basis"  
for rejecting pain testimony "without further explanation").

1 (Id.) He also found that she "reportedly cared for her father in  
2 law prior to his passing" and had "recently" been exercising and  
3 "reportedly walking three miles per day." (Id.) An ALJ may  
4 properly discount the credibility of a plaintiff's subjective  
5 symptom statements when they are inconsistent with her daily  
6 activities. See Molina, 674 F.3d at 1113. "Even where those  
7 [daily] activities suggest some difficulty functioning, they may  
8 be grounds for discrediting the claimant's testimony to the  
9 extent that they contradict claims of a totally debilitating  
10 impairment." Id. But the "mere fact that a plaintiff has  
11 carried on certain daily activities does not in any way detract  
12 from her credibility as to her overall disability." Revels, 874  
13 F.3d at 667 (alteration omitted) (citing Benecke, 379 F.3d at  
14 594). Impairments that would "unquestionably preclude work . . .  
15 will often be consistent with doing more than merely resting in  
16 bed all day." Kelly v. Berryhill, \_\_ F. App'x \_\_, No. 16-17173,  
17 2018 WL 2022575, at \*3 (9th Cir. May 1, 2018) (citing Garrison v.  
18 Colvin, 759 F.3d 995, 1016 (9th Cir. 2014)).

19 Plaintiff's ability to "water out in front of [her] house"  
20 using a "lightweight" hose (AR 42), wash dishes "if [she's] not  
21 dropping them (AR 43), swim "a couple times" in her local pool  
22 (id.), and "go to the grocery store" while "hold[ing] on to the  
23 shop[ping] cart" (AR 48) was not inconsistent with her claims  
24 that it "hurt[] to stand or move around" (AR 293, 298), she  
25 couldn't "stand for more than 15 minutes" (AR 267), her "hands  
26 cramp[ed]" (AR 267, 269), and she had difficulty lifting,  
27 squatting, bending, standing, reaching, walking, sitting,  
28 kneeling, stair-climbing, seeing, remembering, completing tasks,

1 concentrating, understanding, following instructions, and using  
2 her hands (AR 272, 298). See Revels, 874 F.3d at 667-68  
3 (plaintiff's daily activities of "using the bathroom, brushing  
4 her teeth, washing her face, taking her children to school,  
5 washing dishes, doing laundry, sweeping, mopping, vacuuming,  
6 going to a doctor's appointment for her or for one of her  
7 children, visiting her mother and father, cooking, shopping,  
8 getting gas, and feeding her dogs" didn't "detract from her  
9 credibility" when she could "complete only some of the tasks in a  
10 single day and regularly needed to take breaks"); Popa v.  
11 Berryhill, 872 F.3d 901, 907 (9th Cir. 2017) (as amended)  
12 ("attending church and shopping for groceries" not inconsistent  
13 with plaintiff's moderate limitations); Blau v. Astrue, 263 F.  
14 App'x 635, 637 (9th Cir. 2008) ("[d]aily household chores and  
15 grocery shopping" not "easily transferable to a work  
16 environment").

17       The ALJ also noted that Plaintiff "reportedly cared for her  
18 father in law prior to his passing." (AR 25.) But that  
19 "finding, standing alone, [was] not a sufficient basis to  
20 question [her] testimony regarding the extent of her pain"  
21 because the record does not "indicate that she performed [that]  
22 work on any kind of regular or sustained basis." See Lapeirre-  
23 Gutt, 382 F. App'x at 664-65.

24       The ALJ further found that in late 2014, Plaintiff was  
25 walking "three miles per day" (AR 25 (citing AR 635)), which  
26 directly contradicted her allegations that she was "unable to  
27 walk any distance" (AR 272) and couldn't "stand for but a few  
28 minutes" (AR 298). Though walking that distance apparently

1 caused "foot pain" and "shin splints" (AR 635 (Dec. 2014), 676  
2 (Jan. 2015)), she subsequently sought "orthotics for walking  
3 shoes" (AR 676 (Jan. 2015)), which then helped "improv[e] some of  
4 [her] painful symptoms" (AR 670 (Apr. 2015)). It is unclear  
5 whether she continued to walk three miles a day after being  
6 fitted for orthotics, but the record suggests she was actively  
7 "exercising/walking more" at that point (AR 669; see also AR 390  
8 (reporting "some pain with extensive workouts" in 2012)).

9 Thus, the ALJ's finding that Plaintiff's walking "three  
10 miles per day" was "indicative of greater functional  
11 capabilities" than she testified to may have been a sufficient  
12 reason to discount the credibility of her statements. (AR 25);  
13 see Molina, 674 F.3d at 1113. But as explained below, remand is  
14 warranted because the ALJ's errors discussed above were not  
15 harmless.

#### 16 vi. *Work History*

17 Finally, the ALJ found that Plaintiff's work history  
18 "reflect[ed] a pattern of marginal[, ] intermittent[, ] and part-  
19 time work, indicating that her impairments may not [have been]  
20 the sole reason for her . . . inability to sustain full-time  
21 competitive employment." (AR 25 (citing AR 244).) Plaintiff  
22 argues that the ALJ made that "speculation without any inquiry  
23 into [her] life circumstances, for instance, if [she] spent  
24 [that] time raising a child or taking care of a home." (J. Stip.  
25 at 11.)

26 An ALJ may consider work history when evaluating a  
27 claimant's credibility. See Thomas, 278 F.3d at 958-59. And the  
28 fact that a claimant had "spotty" or "sporadic" work history

1 before filing for disability may constitute a clear and  
2 convincing reason for discounting the credibility of her  
3 subjective statements. Id. at 959; Sherman v. Colvin, 582 F.  
4 App'x 745, 747-48 (9th Cir. 2014). Indeed, Plaintiff's work  
5 history was "spotty, at best." See Thomas, 278 F.3d at 959. She  
6 testified that "in the last 15 years" she had had "only" "two  
7 jobs." (AR 38.) She sold cooking products with her niece but  
8 "wasn't with it that long," never making "more than a thousand  
9 [dollars] in . . . a month." (AR 38-39.) For a period of time,  
10 she also worked in a portrait studio "full-time" and "sometimes a  
11 little more on holidays" but stopped working in June 2006 because  
12 her employer wouldn't "give [her] time off" to be with her  
13 grandkids after they were seriously injured. (AR 39-41, 252.)  
14 Her earnings summary shows that before 1994, she made less than  
15 \$3000 a year; between 1994 and 2001, she had no earnings at all;  
16 and between 2002 and her alleged onset date in 2006, her income  
17 varied remarkably. (See AR 244.) In her disability report, she  
18 claimed that between January 2002 and June 2006, she worked the  
19 portrait-studio job eight hours a day for five days a week,  
20 making \$9.50 an hour. (AR 252.) If that were true, she should  
21 show earnings of around \$19,000 each of those years. But she  
22 made over \$15,000 during only two of those years, suggesting that  
23 she was not in fact working full-time for a substantial portion  
24 of that time. (See AR 244.)

25       Moreover, Plaintiff apparently left that job not because she  
26 had a "lack of interest in working" (AR 25) but rather because  
27 her "grandkids got burned in a fire" and she thought it was  
28 "important" to "be with [them]" (AR 40-41). She also seemed to

1 have difficulty reading, writing, and doing math (AR 39, 54-55,  
2 261, 263, 267, 270, 272, 287, 289, 296, 298), which could explain  
3 her "sporadic work history" (see AR 25). Indeed, Plaintiff told  
4 one of her doctors in 2012 that she wanted a job but that "nobody  
5 would hire her" because she couldn't "read, write, [or] spell."  
6 (AR 358.) Thus, although the ALJ's observation that a "lack of  
7 interest in working[] unrelated to any medical condition[] may  
8 account for her current lack of employment" may have been a  
9 reasonable inference (see AR 25); Thomas, 278 F.3d at 959, there  
10 were apparently other reasons for her intermittent work history.

11 Nonetheless, though two of the ALJ's reasons for discounting  
12 Plaintiff's subjective symptom testimony – her daily activities  
13 and "sporadic" work history (AR 25) – may have been valid, the  
14 Court cannot conclude that her errors in discounting those  
15 statements' credibility because of a lack of objective findings,  
16 her supposedly conservative treatment, Lyrica's alleged  
17 effectiveness, and her CPAP noncompliance were harmless. See  
18 Hamilton-Carneal, 670 F. App'x at 614 (holding that error in  
19 ALJ's discounting of claimant's fibromyalgia-related "subjective  
20 complaints" was not harmless despite her providing other  
21 legitimate reasons because "the ALJ's decision indicate[d] that  
22 the absence of 'objective medical evidence' was a central factor  
23 in her determination"). Thus, remand is warranted.

24 B. Remand for Further Proceedings Is Appropriate

25 When an ALJ errs, as here, the Court "ordinarily must remand  
26 for further proceedings." Leon v. Berryhill, 880 F.3d 1041, 1045  
27 (9th Cir. 2017) (as amended Jan. 25, 2018); see also Harman v.  
28 Apfel, 211 F.3d 1172, 1175-78 (9th Cir. 2000) (as amended);



1 Connett v. Barnhart, 340 F.3d 871, 876 (9th Cir. 2003). The  
2 Court has discretion to do so or to make a direct award of  
3 benefits under the "credit-as-true" rule. Leon, 880 F.3d at  
4 1045. "[A] direct award of benefits was intended as a rare and  
5 prophylactic exception to the ordinary remand rule[.]" Id. The  
6 "decision of whether to remand for further proceedings turns upon  
7 the likely utility of such proceedings," Harman, 211 F.3d at  
8 1179, and "[w]here . . . an ALJ makes a legal error, but the  
9 record is uncertain and ambiguous, the proper approach is to  
10 remand the case to the agency," Leon, 880 F.3d at 1045 (second  
11 alteration in original) (citing Treichler, 775 F.3d at 1105); see  
12 also Garrison v. Colvin, 759 F.3d 995, 1021 (9th Cir. 2014).

13 Here, further administrative proceedings would serve the  
14 useful purpose of allowing the ALJ to "evaluate the record in  
15 light of the unique characteristics of fibromyalgia," see Revels,  
16 874 F.3d at 667 n.6, and to resolve some of the inconsistencies  
17 in the record, including Plaintiff's work history, daily  
18 activities, and CPAP noncompliance, see Garrison, 759 F.3d at  
19 1021 (recognizing flexibility to remand for further proceedings  
20 when "record as a whole creates serious doubt as to whether the  
21 claimant is, in fact, disabled"). If the ALJ again discounts  
22 Plaintiff's subjective symptoms, she can then provide an adequate  
23 discussion of the evidence justifying her doing so. See Payan,  
24 672 F. App'x at 733. Therefore, remand for further proceedings  
25 is appropriate. See Garrison, 759 F.3d at 1020 n.26.

1 **VI. CONCLUSION**

2 Consistent with the foregoing and under sentence four of 42  
3 U.S.C. § 405(g),<sup>35</sup> IT IS ORDERED that judgment be entered  
4 REVERSING the Commissioner's decision, GRANTING Plaintiff's  
5 request for remand, and REMANDING this action for further  
6 proceedings consistent with this memorandum decision.

7  
8 DATED: July 25, 2018

  
\_\_\_\_\_  
JEAN ROSENBLUTH  
U.S. Magistrate Judge

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27 <sup>35</sup> That sentence provides: "The [district] court shall have  
28 power to enter, upon the pleadings and transcript of the record,  
a judgment affirming, modifying, or reversing the decision of the  
Commissioner of Social Security, with or without remanding the  
cause for a rehearing."